

## Math 274 Homework One solution

(1) Families of subsets.

(a) Count the subsets of  $[n]$  that contain at least one odd number.

There are  $2^n$  subsets of  $[n]$ , and if a subset does not contain an odd number, it consists of even numbers (there are  $\lfloor n/2 \rfloor$  even numbers), so there are  $2^{\lfloor n/2 \rfloor}$  bad subsets. Therefore, there are  $2^n - 2^{\lfloor n/2 \rfloor}$  subsets that contain at least one odd number.

(b) Count the  $k$ -sets in  $[n]$  having no two consecutive integers.

There are  $n - 1$  pairs of consecutive integers, so there are  $(n - 1) \binom{n-2}{k-2}$  bad  $k$ -sets. Therefore, the number of good ones is  $\binom{n}{k} - (n - 1) \binom{n-2}{k-2}$ .

There is also a direct counting given by Andrew Levin:  $\{x_1, x_2, \dots, x_k\}$  is a  $k$ -set of  $[n]$  with  $x_i < x_{i+1} + 1$  if and only if  $\{x_1, x_2 - 1, x_3 - 2, \dots, x_k - k + 1\}$  is a  $k$ -set of  $[n - k + 1]$ . Thus the number of required  $k$ -sets is  $\binom{n-k+1}{k}$ .

(c) Count the lists of subsets  $A_0, A_1, \dots, A_n$  such that  $A_0 \subset A_1 \subset \dots \subset A_n$ . Count the lists such that  $A_0 \subseteq A_1 \subseteq \dots \subseteq A_n$ .

For the first part, there are  $n!$  of them, since if you list the elements in the order of their appearance in the  $A_i$ s, they form a permutation of  $[n]$ , and every permutation of  $[n]$  can be naturally corresponds to such a sequence (let  $A_i$  contain the first  $i$  elements).

For the second part, note that if  $i \in A_j$ , then  $i \in A_l$  for all  $l \geq j$ . Thus it depends on which set is the first one where  $i$  is. For each number  $i \in [n]$ ,  $i$  can be placed in  $n + 2$  sets (remember empty set), thus the number of set sequences is  $(n + 2)^n$ .

(2) Let  $A_n$  be the set of permutations of  $[n]$ , where  $[n] = \{1, 2, \dots, n\}$ . Let  $B_n$  be the set of  $n$ -tuples  $(b_1, b_2, \dots, b_n)$  such that  $1 \leq b_i \leq i$  for each  $i \in [n]$ . Construct a bijection from  $A_n$  to  $B_n$ . (hint: Use induction on  $n$ , employing a bijection from  $A_{n-1}$  to  $B_{n-1}$  to construct a bijection from  $A_n$  to  $B_n$ . Below we illustrate the process for  $n = 3$ .)

$$\begin{array}{c} A_3 \\ B_3 \end{array} \left| \begin{array}{ccc|ccc} 321 & 231 & 213 & 312 & 132 & 123 \\ 111 & 112 & 113 & 121 & 122 & 123 \end{array} \right.$$

From  $n - 1$  to  $n$ , we place  $i$  at the end of a  $n$ -tuple of  $B_{n-1}$  if  $n$  is inverted to the  $i$ -th place of the permutation in  $A_{n-1}$  which corresponds to the  $n$ -tuple of  $B_{n-1}$ .

- (3) Count the positive integer solutions to  $\sum_{i=1}^n x_i \leq k$ ?

From  $\sum_{i=1}^n x_i \leq k$ , we get  $\sum_{i=1}^n x_i + t = k$ , where  $t \geq 0$  is difference between RHS and LHS. Let  $t' = t + 1 \geq 1$ , we get  $\sum_{i=1}^n x_i + t' = k + 1$ , and the number of positive integer solutions to it is  $\binom{k}{n}$ .

- (4) Use the expressions for powers of  $i$  in terms of binomial coefficients to evaluate  $\sum_{i=1}^n (i^3 + 6i^2 + 12i + 8)$ .

Note that  $i^3 = 6\binom{i}{3} + 6\binom{i}{2} + \binom{i}{1}$  and  $\sum_{k=0}^n \binom{k}{r} = \binom{n+1}{r+1}$ ,

we have

$$\begin{aligned} \sum_{i=1}^n (i^3 + 6i^2 + 12i + 8) &= \sum_{i=1}^n (i+2)^3 = \sum_{i=1}^n \left(6\binom{i+2}{3} + 6\binom{i+2}{2} + \binom{i+2}{1}\right) \\ &= \sum_{j=3}^{n+2} \left(6\binom{j}{3} + 6\binom{j}{2} + \binom{j}{1}\right) \quad \text{let } j = i+2 \\ &= \sum_{j=0}^{n+2} \left(6\binom{j}{3} + 6\binom{j}{2} + \binom{j}{1}\right) \quad \text{the first few terms are just 0} \\ &= \sum_{j=0}^{n+2} \left(6\binom{j}{3}\right) + \sum_{j=0}^{n+2} \left(6\binom{j}{2}\right) + \sum_{j=0}^{n+2} \binom{j}{1} \\ &= 6\binom{n+3}{4} + 6\binom{n+3}{3} + \binom{n+3}{2}. \end{aligned}$$

- (5) Prove the following identities by counting a set in two ways. Then use the two identities to obtain simple formulas that evaluate  $\sum_{i=1}^n \sum_{j=1}^n \min\{i, j\}$  and  $\sum_{i=1}^n \sum_{j=1}^n \max\{i, j\}$ .

a)  $\sum_{i=1}^n \sum_{j=1}^n \min\{i, j\} = \sum_{k=1}^n k^2$ ;

b)  $\sum_{k=1}^n k^2 = 2\binom{n+1}{3} + \binom{n+1}{2}$ .

(Hint: count 3-tuples with special properties.)

For a), we look at the following table:

$i/j$	1	2	3	$\dots$	$n$
1	1	1	1	$\dots$	1
2	1	2	2	$\dots$	2
3	1	2	3	$\dots$	3
$\vdots$					
$n$	1	2	3	$\dots$	$n$

LHS counts the sum of the numbers in the table. RHS counts it in a different way: we can decompose the numbers into  $\sum_{k=1}^n k^2$  ones.

For b), consider the 3-tuples  $(x, y, z)$  such that  $x, y, z \in [n]$  and  $x \geq y, x \geq z$ . For LHS, when  $x = k$ , both  $y$  and  $z$  are in  $[k]$ , so there are  $k^2$  choices. For RHS, we consider the 3-tuples  $(x', y, z)$  with  $x' = x + 1$ . Now  $x', y, z \in [n + 1]$  and  $x' > y, x' > z$ . When  $y \neq z$ , we have  $\binom{n+1}{3}$  choices for  $x', y, z$  and each choice can form two required 3-tuples. When  $y = z$ , there are  $\binom{n+1}{2}$  choices for  $x', y = z$ , and each choice gives one required 3-tuple.

Note that

$$\sum_{i=1}^n \sum_{j=1}^n \min\{i, j\} + \sum_{i=1}^n \sum_{j=1}^n \max\{i, j\} = \sum_{i=1}^n \sum_{j=1}^n (i+j) = \sum_{i=1}^n (n \cdot i + n(n+1)/2) = n^2(n+1),$$

$$\text{thus } \sum_{i=1}^n \sum_{j=1}^n \max\{i, j\} = n^2(n+1) - \sum_{k=1}^n k^2.$$

(6) Prove  $\sum_{k=1}^n k \cdot k! = (n+1)! - 1$  by induction and by counting two ways.

I just ignore the induction part.

For the counting by two ways, the RHS counts the  $n+1$ -words  $a_1 a_2 \dots a_{n+1}$  such that  $a_i \leq i$  for all  $i$ , and for at least one  $j$ ,  $a_j \neq j$ . For the LHS, we count the same objects, with  $n$  cases. For the  $k$ -th case,  $a_{k+1}$  is the number with largest index  $i$  such that  $a_i \neq i$  and  $a_j = j$  for all  $j > i$ .